

A Complete Algorithm for Counting Real Solutions of Polynomial Systems of Equations and Inequalities*

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Abstract We present a complete and practical algorithm which can determine the number of distinct real solutions of a given polynomial system of equations and inequalities with integer coefficients mechanically. Based on this algorithm, a program called `nearsolve` has been implemented in Maple. The algorithm and program have been successfully applied to many problems with various backgrounds and to automated discovering and proving for inequality-type theorems.

Keywords real root-counting, polynomial system, resultant, computer algebra, algorithm

1 Introduction

Solving a system of polynomial equations and inequalities is a very important problem, which can find its applications in various fields. In some examples of interest in practice and theory, the major issue is to determine whether a given system has real solutions or not, and to count them if the number is finite. In fact, the problem of counting real solutions of a polynomial system has been extensively studied for many years [4, 7].

*This work is supported in part by the National “973” Project (G1998030602) of China and in part by “95” Key Project on Fundamental Research of Academia Sinica.

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Suppose we are given a system

$$PSC : \begin{cases} p_1(x_1, x_2, \dots, x_s) = 0, \\ p_2(x_1, x_2, \dots, x_s) = 0, \\ \dots\dots\dots, \\ p_s(x_1, x_2, \dots, x_s) = 0, \\ g_1(x_1, x_2, \dots, x_s) \geq 0, \dots, g_r(x_1, x_2, \dots, x_s) \geq 0, \\ g_{r+1}(x_1, x_2, \dots, x_s) > 0, \dots, g_t(x_1, x_2, \dots, x_s) > 0, \\ h_1(x_1, x_2, \dots, x_s) \neq 0, \dots, h_m(x_1, x_2, \dots, x_s) \neq 0, \end{cases}$$

where $p_i(1 \leq i \leq s)$, $g_j(1 \leq j \leq t)$, $h_k(1 \leq k \leq m)$ are all polynomials with integer coefficients and equations $\{p_1 = 0, p_2 = 0, \dots, p_s = 0\}$ has a finite number of common solutions. The question is how many distinct real solutions this system has.

If the ideal generated by p_1, \dots, p_s is zero dimensional, then it is well known that Wu's method, Gröbner basis method, or subresultant method can be used to transform the system of equations into one or more systems in triangular form (see, for example, [12, 13, 2, 10, 11, 8, 1, 16]). Therefore, in next two sections, we only consider triangular equations and the problem we discuss is to compute the number of distinct real solutions of following system TSC ,

$$TSC : \begin{cases} f_1(x_1) = 0, \\ f_2(x_1, x_2) = 0, \\ \dots\dots\dots, \\ f_s(x_1, x_2, \dots, x_s) = 0, \\ g_1(x_1, x_2, \dots, x_s) \geq 0, \dots, g_r(x_1, x_2, \dots, x_s) \geq 0, \\ g_{r+1}(x_1, x_2, \dots, x_s) > 0, \dots, g_t(x_1, x_2, \dots, x_s) > 0, \\ h_1(x_1, x_2, \dots, x_s) \neq 0, \dots, h_m(x_1, x_2, \dots, x_s) \neq 0, \end{cases}$$

where $f_i(1 \leq i \leq s)$, $g_j(1 \leq j \leq t)$, $h_k(1 \leq k \leq m)$ are all polynomials with integer coefficients and $\{f_1, f_2, \dots, f_s\}$ is a normal ascending chain [17] (also see Section 2 for the definition).

In Section 2, we present a practical algorithm which, dealing with regular TSC (see Definition 2.5), is the kernel part of our method for solving this problem. Section 3 is devoted to some special techniques to handle irregular TSC . Section 4 includes some examples solved by a software named `nearsolve` which implements our algorithms under Maple.

2 General Algorithm

First of all, let us introduce some notations and concepts. In this section, all the polynomials are in $Z[x_1, \dots, x_s]$. For any polynomial P with positive degree, the *leading variable* x_l of P is the one with greatest index l that effectively appears in P . By a *triangular set*, we mean a set of polynomials $\{f_i(x_1, \dots, x_i), f_{i+1}(x_1, \dots, x_{i+1}), \dots, f_l(x_1, \dots, x_l)\}$ in which the leading variable of f_i is x_i .

Definition 2.1 (Discriminant)

Given a polynomial $g(x)$, let $\text{resultant}(g, g'_x, x)$ be the Sylvester resultant of g and g'_x with respect to (w.r.t.) x . We call it the *discriminant* of g w.r.t. x and denote it by $\text{Discrim}(g, x)$ or simply by $\text{Discrim}(g)$ if its meaning is clear.

It should be pointed out that the definition of discriminant here is slightly different from others which are the quotient of $\text{resultant}(g, g'_x, x)$ by the leading coefficient of $g(x)$.

Definition 2.2 (Resultant and Pseudo-remainder w.r.t. a Triangular Set)

Given a polynomial g and a triangular set $\{f_1, f_2, \dots, f_s\}$, let

$$r_s := g, \quad r_{s-i} := \text{resultant}(r_{s-i+1}, f_{s-i+1}, x_{s-i+1}), \quad i = 1, 2, \dots, s;$$

$$q_s := g, \quad q_{s-i} := \text{prem}(q_{s-i+1}, f_{s-i+1}, x_{s-i+1}), \quad i = 1, 2, \dots, s,$$

where $\text{resultant}(p, q, x)$ means the Sylvester resultant of p, q w.r.t. x and $\text{prem}(p, q, x)$ means the pseudo remainder of p divided by q w.r.t. x .

Let $\text{res}(g, f_s, \dots, f_i)$ and $\text{prem}(g, f_s, \dots, f_i)$ denote r_{i-1} and q_{i-1} ($1 \leq i \leq s$), respectively, and call them the *resultant* and *pseudo-remainder* of g w.r.t. the triangular set $\{f_i, f_{i+1}, \dots, f_s\}$, respectively.

Definition 2.3[16, 17] (Normal Ascending Chain)

Given a triangular set $\{f_1, f_2, \dots, f_s\}$, by I_i ($i = 1, 2, \dots, s$) denote the leading coefficient of f_i in x_i . A triangular set $\{f_1, f_2, \dots, f_s\}$ is called a *normal ascending chain* if

$$I_1 \neq 0, \quad \text{res}(I_i, f_{i-1}, \dots, f_1) \neq 0, \quad i = 2, \dots, s.$$

Definition 2.4 (Critical Polynomial of System TSC)

Given system TSC . For every f_i ($i \geq 2$), let

$$\begin{aligned} Bf_2 &= \text{Discrim}(f_2, x_2), \\ Bf_i &= \text{res}(\text{Discrim}(f_i, x_i), f_{i-1}, f_{i-2}, \dots, f_2), \quad i > 2. \end{aligned}$$

For $\forall q \in \{g_j(1 \leq j \leq t)\} \cup \{h_k(1 \leq k \leq m)\}$, let

$$Bq = \text{res}(q, f_s, f_{s-1}, \dots, f_2).$$

We define

$$BP = \prod_{2 \leq i \leq s} Bf_i \cdot \prod_{1 \leq j \leq t} Bg_j \cdot \prod_{1 \leq k \leq m} Bh_k.$$

Clearly, BP is a polynomial in x_1 and is called the *critical polynomial* of system TSC w.r.t. x_1 .

Definition 2.5 (Regular TSC)

System TSC is *regular* if $\text{resultant}(BP(x_1), f_1(x_1), x_1) \neq 0$.

Definition 2.6 (Near Roots)

Given system TSC . Suppose all the distinct real roots of $f_1(x_1)$ are $\alpha_1, \dots, \alpha_n$. If a list of real numbers, $[r_1, \dots, r_n]$, satisfies that for $\forall i(1 \leq i \leq n)$,

1) if $s > 1$, system

$$\begin{cases} f_2(\alpha_i, x_2) = 0, \\ \dots\dots\dots, \\ f_s(\alpha_i, x_2, \dots, x_s) = 0, \\ g_1(\alpha_i, x_2, \dots, x_s) \geq 0, \dots, g_r(\alpha_i, x_2, \dots, x_s) \geq 0, \\ g_{r+1}(\alpha_i, x_2, \dots, x_s) > 0, \dots, g_t(\alpha_i, x_2, \dots, x_s) > 0, \\ h_1(\alpha_i, x_2, \dots, x_s) \neq 0, \dots, h_m(\alpha_i, x_2, \dots, x_s) \neq 0, \end{cases}$$

and system

$$\begin{cases} f_2(r_i, x_2) = 0, \\ \dots\dots\dots, \\ f_s(r_i, x_2, \dots, x_s) = 0, \\ g_1(r_i, x_2, \dots, x_s) \geq 0, \dots, g_r(r_i, x_2, \dots, x_s) \geq 0, \\ g_{r+1}(r_i, x_2, \dots, x_s) > 0, \dots, g_t(r_i, x_2, \dots, x_s) > 0, \\ h_1(r_i, x_2, \dots, x_s) \neq 0, \dots, h_m(r_i, x_2, \dots, x_s) \neq 0, \end{cases}$$

have the same number of distinct real solutions and,

2) if $s = 1$, for $\forall q \in \{g_j(1 \leq j \leq t)\} \cup \{h_k(1 \leq k \leq m)\}$, $\text{sign}(q(\alpha_i)) = \text{sign}(q(r_i))$, where

$$\text{sign}(x) = \begin{cases} 1, & x > 0, \\ 0, & x = 0, \\ -1, & x < 0. \end{cases}$$

then $[r_1, \dots, r_n]$ is called a list of *near roots* of $f_1(x_1)$ w.r.t. system TSC . If $f_1(x_1)$ has no real roots, the list of *near roots* is defined to be the empty list $[]$.

In the rest of this section, we consider regular systems of the form TSC only. So, no $Bh_k(1 \leq k \leq m)$ has common roots with $f_1(x_1)$, which means every solution of $\{f_1 = 0, f_2 = 0, \dots, f_s = 0\}$ satisfies $h_k \neq 0$ ($1 \leq k \leq m$). Thus, we need only to consider regular system TSC without h_k .

Algorithm: nearroots

input: two polynomials $p(x)$ and $q(x)$ which have no common roots

output: a list of near roots of $p(x)$ w.r.t. system $\{p(x) = 0, q(x) > 0\}$

Step 1 If $p(x)$ has no real roots, output an empty list $[]$. If $q(x)$ has no real roots and $p(x)$ has u distinct real roots, output a list $[0, \dots, 0]$ in which the number of 0 is u . Otherwise

Step 2 Suppose all the distinct real roots of $q(x)$ are $\alpha_1, \dots, \alpha_n$. By modified Uspensky algorithm [3] or existing tools in computer algebra system (e.g. function *realroot* in Maple), we can get a sequence of intervals, $[a_1, b_1], \dots, [a_n, b_n]$, which satisfies,

1) $\alpha_i \in [a_i, b_i]$ for $i = 1, \dots, n$,

- 2) $[a_i, b_i] \cap [a_j, b_j] = \emptyset$ for $i \neq j$,
- 3) no roots of $p(x)$ are in any $[a_i, b_i]$,
- 4) $a_i, b_i (1 \leq i \leq n)$ are all rational numbers.

Step 3 Now we've got a sequence of intervals

$$(-\infty, a_1), \dots, (b_i, a_{i+1}), \dots, (b_n, \infty).$$

For every interval in this sequence, determine the number of distinct real roots in it of $p(x)$. If in $(-\infty, a_1)$, $p(x)$ has $u_0 (> 0)$ distinct roots, choose u_0 rational numbers from $(-\infty, a_1)$, say $a_1 - 1$ (u_0 times). If in (b_i, a_{i+1}) , $p(x)$ has $u_i (> 0)$ distinct roots, choose u_i rational numbers $(b_i + a_{i+1})/2$. If in (b_n, ∞) , $p(x)$ has $u_n (> 0)$ distinct roots, choose u_n rational numbers $b_n + 1$. Finally, sort and output all the numbers we have chosen.

Theorem 2.1 *Given a regular system TSC . Suppose all the distinct real roots of $f_1(x_1)$ are $\alpha_1 < \dots < \alpha_n$, then, by calling $\text{nearroots}(f_1, BP(x_1))$ we can get a list of rational numbers, $[r_1, \dots, r_n]$, which is a list of near roots of $f_1(x_1)$ w.r.t. system TSC .*

Proof. If $s = 1$, the conclusion is obvious. So, suppose $s > 1$. Because system TSC is regular, f_1 has no common roots with the critical polynomial $BP(x_1)$. So, by calling $\text{nearroots}(f_1, BP(x_1))$ we get a list of rational numbers $[r_1, \dots, r_n]$. We will demonstrate that this is indeed a list of near roots of $f_1(x_1)$ w.r.t. system TSC .

For $\forall i_0 (1 \leq i_0 \leq n)$, we know from Step 3 in algorithm nearroots that α_{i_0} and r_{i_0} both lie between some two consecutive roots (say, β_{i_0} and β_{i_0+1}) of $BP(x_1) = \prod_{2 \leq i \leq s} Bf_i \cdot \prod_{1 \leq j \leq t} Bg_j$. Clearly, the sign of each Bf_i and Bg_j is invariant on the interval $(\beta_{i_0}, \beta_{i_0+1})$.

First of all, $Bf_2 = \text{Discrim}(f_2, x_2) \neq 0$ on the interval $(\beta_{i_0}, \beta_{i_0+1})$ implies the number of distinct real roots of f_2 is invariant on $(\beta_{i_0}, \beta_{i_0+1})$. Furthermore, $Bf_3 \neq 0$ on $(\beta_{i_0}, \beta_{i_0+1})$ implies, if $f_2 = 0$, $\text{Discrim}(f_3, x_3) \neq 0$ on $(\beta_{i_0}, \beta_{i_0+1})$, which means the number of distinct real solutions of equations $\{f_2 = 0, f_3 = 0\}$ is invariant on $(\beta_{i_0}, \beta_{i_0+1})$. Continuing similar discussions, we get that the number of distinct real solutions of equations $\{f_2 = 0, \dots, f_s = 0\}$ is invariant on $(\beta_{i_0}, \beta_{i_0+1})$. Secondly, $Bg_j \neq 0$ on $(\beta_{i_0}, \beta_{i_0+1})$ implies, if $\{f_2 = 0, \dots, f_s = 0\}$, $g_j \neq 0$ on $(\beta_{i_0}, \beta_{i_0+1})$, which means the number of distinct real solutions of system TSC without f_1 is invariant on $(\beta_{i_0}, \beta_{i_0+1})$. The proof is complete.

Algorithm: `nearsolve`

input: regular system TSC

output: the number of distinct real solutions of system TSC

Step 1 $i := 1$. By nearroots we can get a list of near roots of $f_1(x_1)$, say rs_1 . If $rs_1 = [r_{11}, \dots, r_{k_11}]$, let $pts_1 := [[r_{11}], \dots, [r_{k_11}]]$. Otherwise, if $rs_1 = []$, let $pts_1 := []$;

Step 2 If $pts_i = []$, let $pts := []$ and go to Step 4. Otherwise, if

$$pts_i = [[r_{11}, \dots, r_{1i}], \dots, [r_{k_i1}, \dots, r_{k_i i}]],$$

J1) $j := 1$.

J2) Substitute $x_1 = r_{j1}, \dots, x_i = r_{ji}$ into system TSC in which f_1, \dots, f_i has been deleted. For this new system, by `nearroots` we get a list of near roots of $f_{i+1}(x_{i+1})$, say rs_{i+1}^j . If $rs_{i+1}^j = []$, let $pts_{i+1}^j := []$. Otherwise, if $rs_{i+1}^j = [r_1, \dots, r_{l_j}]$, let

$$pts_{i+1}^j := [[r_{j1}, \dots, r_{ji}, r_1], \dots, [r_{j1}, \dots, r_{ji}, r_{l_j}]], \quad j := j + 1,$$

and goto J3);

J3) If $j \leq k_i$, goto J2). Otherwise, let

$$pts_{i+1} := \bigcup_{1 \leq j \leq k_i} pts_{i+1}^j, \quad i := i + 1,$$

where $\bigcup_{1 \leq j \leq k_i} pts_{i+1}^j$ means a list which consists of all the members in each pts_{i+1}^j and then, goto Step 3;

Step 3 If $i = s$, let $pts := pts_i$ and goto Step 4. Otherwise, goto Step 2;

Step 4 If $pts = []$, output 0. Otherwise, suppose

$$pts = [v_1, \dots, v_n] = [[r_{11}, \dots, r_{1s}], \dots, [r_{n1}, \dots, r_{ns}]],$$

if u members of pts make every $g_j > 0$ true, output u .

Proof (of the correctness of algorithm `nearsolve`).

If equations $\{f_1 = 0, \dots, f_s = 0\}$ has no real solutions, pts in Step 4 is obviously an empty list $[]$. If equations $\{f_1 = 0, \dots, f_s = 0\}$ have n distinct real solutions, $[w_1, \dots, w_n]$, then by Theorem 2.1, pts in Step 4 must be

$$[v_1, \dots, v_n] = [[r_{11}, \dots, r_{1s}], \dots, [r_{n1}, \dots, r_{ns}]],$$

in which

$$\forall i(1 \leq i \leq n) \quad \forall j(1 \leq j \leq t) \quad \text{sign}(g_j(w_i)) = \text{sign}(g_j(v_i)).$$

That ends the proof.

Let $|D_k^t| = \det(D_k^t)$. We call $|D_k^0|$ ($0 \leq k \leq n-1$) the k th *principal subresultant* of $f(x)$. Obviously, $|D_k^0| = D_{n-k}$ ($0 \leq k \leq n-1$).

Definition 3.5[17, 9] (Subresultant Polynomial Chain)

For $k = 0, 1, \dots, n-1$, let

$$Q_{n+1}(f, x) = f(x), \quad Q_n(f, x) = f'(x),$$

$$Q_k(f, x) = \sum_{t=0}^k |D_k^t| x^{k-t} = |D_k^0| x^k + |D_k^1| x^{k-1} + \dots + |D_k^k|.$$

We call $\{Q_0(f, x), Q_1(f, x), \dots, Q_{n+1}(f, x)\}$ the *subresultant polynomial chain* of $f(x)$.

Theorem 3.2 [17] *Suppose $\{f_1, f_2, \dots, f_j\}$ is a normal ascending chain, where K is a field and $f_i \in K[x_1, \dots, x_i]$, $i = 1, 2, \dots, j$ and $f(y) = a_0 y^n + a_1 y^{n-1} + \dots + a_{n-1} y + a_n$ is a polynomial in $K[x_1, \dots, x_i][y]$, let*

$$PD_k = \text{prem}(|D_k^0|, f_j, \dots, f_1) = \text{prem}(D_{n-k}, f_j, \dots, f_1), \quad 0 \leq k \leq n-1.$$

If for some $k_0 \geq 0$,

$$\text{res}(a_0, f_j, \dots, f_1) \neq 0$$

$$PD_0 = \dots = PD_{k_0-1} = 0, \quad \text{res}(|D_{k_0}^0|, f_j, \dots, f_1) \neq 0,$$

then, in $K[x_1, \dots, x_j]/(f_1, \dots, f_j)$, we have $\text{gcd}(f, f'_x) = Q_{k_0}(f, x)$.

Now, we deal with irregular system *TSC* with $\text{resultant}(BP, f_1, x_1) = 0$. The idea is to decompose this system by WR algorithm into some new regular systems and thus, to which the general algorithm `nearsolve` in Section 2 is applicable.

- If there is some Bh_k so that $\text{resultant}(BP, Bh_k, x_1) = 0$, do WR decomposition of $\{f_1, f_2, \dots, f_s\}$ w.r.t. h_k and, without loss of generality, suppose we get two new chains $\{A_1, A_2, \dots, A_s\}$ and $\{C_1, C_2, \dots, C_s\}$, in which $\text{prem}(g_j, A_s, \dots, A_1) = 0$ but $\text{res}(g_j, C_s, \dots, C_1) \neq 0$. If we replace $\{f_1, f_2, \dots, f_s\}$ by $\{C_1, C_2, \dots, C_s\}$ in system *TSC*, the new system is regular. Obviously, another system obtained by replacing $\{f_1, f_2, \dots, f_s\}$ with $\{A_1, A_2, \dots, A_s\}$ in system *TSC*, has no real solution.
- If there is some Bg_j so that $\text{resultant}(BP, Bg_j, x_1) = 0$, do WR decomposition of $\{f_1, f_2, \dots, f_s\}$ w.r.t. g_j and, without loss of generality, suppose we get two new chains $\{A_1, A_2, \dots, A_s\}$ and $\{C_1, C_2, \dots, C_s\}$, in which $\text{prem}(g_j, A_s, \dots, A_1) = 0$ but $\text{res}(g_j, C_s, \dots, C_1) \neq 0$. Now, if in system *TSC* we have $g_j > 0$, we simply replace $\{f_1, f_2, \dots, f_s\}$ by $\{C_1, C_2, \dots, C_s\}$ and the new system is regular. If in system *TSC* we have $g_j \geq 0$, we first get a system *TSC1* by replacing $\{f_1, f_2, \dots, f_s\}$ with $\{C_1, C_2, \dots, C_s\}$ and then, get another system *TSC2* by replacing $\{f_1, f_2, \dots, f_s\}$ with $\{A_1, A_2, \dots, A_s\}$ and deleting g_j from it. These two systems are both regular.
- If there is some Bf_i so that $\text{resultant}(BP, Bf_i, x_1) = 0$, let $[D_1, \dots, D_{n_i}]$ be the discriminant sequence of f_i w.r.t. x_i . First of all, we do WR decomposition of $\{f_1, \dots, f_{i-1}\}$ w.r.t. D_{n_i} and, without loss of generality, suppose we get two new

chains $\{A_1, \dots, A_{i-1}\}$ and $\{C_1, \dots, C_{i-1}\}$, in which $\text{prem}(D_{n_i}, A_{i-1}, \dots, A_1) = 0$ but $\text{res}(D_{n_i}, C_{i-1}, \dots, C_1) \neq 0$. Step 1, replacing $\{f_1, \dots, f_{i-1}\}$ with $\{C_1, \dots, C_{i-1}\}$, we will get a regular system. Step 2, let us consider the system obtained by replacing $\{f_1, \dots, f_{i-1}\}$ with $\{A_1, \dots, A_{i-1}\}$ which is still irregular. Consider D_{n_i-1} , the next term in $[D_1, \dots, D_{n_i}]$. If $\text{res}(D_{n_i-1}, A_{i-1}, \dots, A_1) = 0$, do WR decomposition of $\{A_1, \dots, A_{i-1}\}$ w.r.t. D_{n_i-1} . Keep repeating the same procedure¹ until at a certain step we have, for certain D_{i_0} and $\{\bar{A}_1, \dots, \bar{A}_{i-1}\}$, $\text{res}(D_{i_0}, \bar{A}_{i-1}, \dots, \bar{A}_1) \neq 0$ and $\forall j$ ($i_0 < j \leq n_i$), $\text{prem}(D_j, \bar{A}_{i-1}, \dots, \bar{A}_1) = 0$. By Theorem 3.2, we have $\text{gcd}(f_i, f'_i) = Q_{n_i-i_0}(f_i, x_i)$ in $K[x_1, \dots, x_{i-1}]/(\bar{A}_1, \dots, \bar{A}_{i-1})$. Now, let \bar{f}_i be the pseudo quotient of f_i divided by $\text{gcd}(f_i, f'_i)$ and replace $\{f_1, \dots, f_{i-1}, f_i\}$ with $\{\bar{A}_1, \dots, \bar{A}_{i-1}, \bar{f}_i\}$, the new system will be regular.

- If by repeating above three kinds of processes, we decompose irregular system TSC into some regular system TSC_i ($1 \leq i \leq n$) and we have $\text{Zero}(TSC_{i_1}) \subseteq \text{Zero}(TSC_{i_2})$ for some $i_1 \neq i_2$, $1 \leq i_1, i_2 \leq n$, then delete system TSC_{i_1} .

Thus, we have got

Theorem 3.3 *For an irregular system TSC , there is a constructive algorithm which can decompose TSC into some regular systems TSC_i . Let $NZero(\cdot)$ denote the number of distinct real solutions of a given system, then this decomposition satisfies $NZero(TSC) = \sum NZero(TSC_i)$.*

4 Examples

We have implemented the algorithms described in the above two sections in Maple. As mentioned in Section 1, combining with Wu's method or Gröbner basis method, our algorithms are applicable to system PSC . In our implementation, if the input system is of the form PSC , we use Wu's method to transform the system of equations to one or more systems in triangular form. The calling sequences for system TSC and PSC are respectively

$$\text{nearsolve}([f_1, \dots, f_s], [g_1, \dots, g_r], [g_{r+1}, \dots, g_t], [h_1, \dots, h_m], [x_1, \dots, x_s]);$$

and

$$\text{nearsolve}([p_1, \dots, p_s], [g_1, \dots, g_r], [g_{r+1}, \dots, g_t], [h_1, \dots, h_m], [x_1, \dots, x_s]); .$$

This program has been applied to automated discovering for inequality-type theorems and has been included in our package DISCOVERER [15].

¹This procedure must terminate because $\{f_1, \dots, f_s\}$ being a normal ascending chain implies $\text{res}(I_i, f_{i-1}, \dots, f_1) \neq 0$ and $D_1 = n_i I_i^2$ implies $\text{res}(D_1, f_{i-1}, \dots, f_1) \neq 0$.

Example 4.1 This example originated from a special case of so-called P-3-P problem [5, 6, 15]. Given system

$$\begin{cases} p_1(x, y, z, a, b) = x^2 + y^2 - xy - 1 = 0, \\ p_2(x, y, z, a, b) = y^2 + z^2 - yz - a^2 = 0, \\ p_3(x, y, z, a, b) = z^2 + x^2 - zx - b^2 = 0, \\ x > 0, y > 0, z > 0, a - 1 \geq 0, b - a \geq 0, a + 1 - b > 0, \end{cases}$$

the question is how many distinct real solutions this system has if $a = 2$ and $b = 21/10$. Substituting $a = 2, b = 21/10$ into that system, we have

$$\begin{cases} p_1(x, y, z) = x^2 + y^2 - xy - 1 = 0, \\ p_2(x, y, z) = y^2 + z^2 - yz - 4 = 0, \\ p_3(x, y, z) = 100z^2 + 100x^2 - 100zx - 441 = 0, \\ x > 0, y > 0, z > 0. \end{cases}$$

By calling

$$\text{nearsolve}([p_1, p_2, p_3], [], [x, y, z], [], [x, y, z]);$$

we get the answer is 1.

Example 4.2 The number of distinct real solutions of system

$$\begin{cases} f_1(x) = -5000000x^6 + 1875000x^4 - 68125x^2 + 8 = 0, \\ f_2(x, y) = (-1000 - 8000x^3)y^2 + (-8000x^4 + 4000x^2 + 8)y - 117x \\ \quad - 8000x^5 + 3000x^3 = 0, \\ f_3(x, y, z) = (8x + 8y)z + 8xy - 1 = 0, \\ x + y > 0, y + z > 0, z + x > 0. \end{cases}$$

is 5.

Example 4.3 How many distinct real solutions does the following system have?

$$\begin{cases} f_1(b) = 0, \\ f_2(b, c) = 0, \\ f_3(b, c, d) = 0, \\ f_4(b, c, d, e) = 0, \\ b > 0, c > 0, d > 0, e > 0, c - d \neq 0, \end{cases}$$

where

$$\begin{aligned} f_1 = & 241538508382138075462768483549507937558926051383237186598921 \backslash \\ & 35143477508299833761559265231377708635407176637146131171128509762 \backslash \\ & 9761b^{32} + 635066713778840598710749498577504496793070850884097947974 \backslash \\ & 3802921917777722424790935669882905230018966867662706346221816526 \backslash \\ & 25273216640b^{30} - 61751672968559423134724687728230891908778934060236 \backslash \\ & 33346079511963379997673499794946894262027603963333723121547282957 \backslash \end{aligned}$$

28824956115726848000 b^{28} + 27390034646753639766624212069599001290967\
 59448312686194199639473757366983350460922339943178170551929762470\
 251477314187497028082105057280 b^{26} - 1437145166237554579477639351890\
 59794915618143392460779148627234144024674310258895855296843282026\
 2735689676445367034239551743254142648320 b^{24} - 298609258728339835915\
 11873209280400659942863793385889444751464527738926059859502184401\
 2436391877650836905308408943702288447254625779712 b^{22} + 435447287511\
 29852462155896216013929270344442811835212525492551812771844033485\
 662489132077458388407791801673830767006425164301268418560 b^{20} - 3414\
 88074367456093473004956003122708578333573667293973935929910141878\
 3540565919352395939247814785296729972490057003026109068312838144 b^{18} +
 82237565552698611657570566658152443771941213949595401920250155054\
 50490851490444645492294205808268848998735781764749955762521605406\
 72 b^{16} - 18465863911534614222771407254727218540553077903981494060726\
 33493473284280274236822702569461113776661096178555364273916711096\
 2872320 b^{14} + 210458398154301515264393872128757750183426243499830192\
 25945815801961543179698127213788008273551581371156105365020925245\
 8008412160 b^{12} - 139786675574463317676421937828553960047493569539985\
 18250677206097934122810155232883104878564803527356387141413117228\
 18845540352 b^{10} + 58518821530242525343370224841451531318336644453000\
 07497442033037334476891210594913793124432039758371062267351116039\
 560626176 b^8 - 1553901833784639522211865208589780740623802505099793\
 47778214149227003875939955374111373227667330769980827373188349301\
 88288 b^6 + 31399605401650712044647367132918454229000779662777456747\
 422632241786296296046865042734023650341502533877789531725365248 b^4 -
 22147981528466208237751095469143763697499488557226201213514166702\
 188991127101416805749416908807763189989750987554816 b^2 + 6072087665\
 34027611425076641314953202561482473671769904296105502296130677639\
 9525491814383795284511167695839821824

$$\begin{aligned}
 f_2 = & 2075 b^{16} c^{12} + 284580 b^{14} c^{10} + 357840 b^{12} c^9 + 10185588 b^{12} c^8 + 20167488 b^{10} c^7 \\
 & + (21285312 b^8 - 62355744 b^{10}) c^6 - 99610560 b^8 c^5 \\
 & + (-4855244976 b^8 - 361573632 b^6) c^4 + (-37158912 b^4 - 3758980608 b^6) c^3 \\
 & + (54181472832 b^6 + 429235200 b^4) c^2 + (4897760256 b^4 + 488374272 b^2) c \\
 & - 123974556480 b^4 + 18874368 + 9432723456 b^2
 \end{aligned}$$

$$f_3 = 9b^4d^3 + 45b^4cd^2 + (35b^4c^2 - 486b^2)d - 108b^2c - 264 + 10b^4c^3$$

$$f_4 = (36d^2b^2 - 8c^2b^2 - 28db^2c)e + 15b^4cd^2 + 6b^4c^3 + 21b^4c^2d - 144b^2c + 9b^4d^3 - 120 - 648b^2d.$$

We call

$$\text{nearsolve}([f_1, f_2, f_3, f_4], [], [b, c, d, e], [c - d], [b, c, d, e]);$$

and get the number of distinct real solutions is 6.

Example 4.4 Irregular system

$$\begin{cases} f_1(r) = r^4 + 24r^3 + 194r^2 + 472r - 15 = 0, \\ f_2(r, a) = a^3 - 2a^2 + (r^2 + 8r + 1)a - 8r = 0, \\ f_3(r, a, b) = ab^2 + (a^2 - 2a)b + 8r = 0, \\ f_4(r, a, b, c) = a + b + c - 2 = 0, \\ r > 0, a > 0, b > 0, c > 0, 1 - a > 0, 1 - b > 0, 1 - c > 0. \end{cases}$$

has 3 distinct real solutions.

The time spent for above four examples on a Pentium/266 (64Mb memories) PC with Maple 5.4 are 0.5 seconds, 3 seconds, 1996 seconds and 275 seconds respectively.

Remark As mentioned at the beginning of this section, for an input system of the form *PSC*, `nearsolve` calls Wu's algorithm to transform it into system(s) of the form *TSC* at first. So, the timing given for Example 4.1 includes a preliminary application of Wu's algorithm and the time spent on that is 0.1 seconds. If the input is an irregular system *TSC*, `nearsolve` decomposes it into regular systems by the algorithm described in Section 3 before calling `nearroots`. The time spent on that decomposition for Example 4.4 is nearly 4 seconds.

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