

Twist Formula of epsilon factors of constructible étale sheaves.

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Thank you very much for the invitation.

I am very happy to back to Tokyo again!

I am enjoying this conference very much!!

I am sorry that the first half part of my talk was already given in Paris and also in Tokyo by Umezaki Sam. And I hope that the second half part of my talk would bring something New.

This is ~~a~~ joint work with ~~Umezaki and Zhao~~. Naoya Umezaki and Yigeng Zhao.

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§0 Notation

We fix some simple notation for this talk.

Let k be a finite field of characteristic $p > 0$. (Sometimes, k is just assumed to be perfect)

Let $l \neq p$ be a prime.

Let Λ be a finite extension of \mathbb{F}_l or \mathbb{C} .
separated and

Let X/k be a smooth scheme purely of dimension $d \geq 0$ over k

$K_0(X/k, \Lambda) =$ Grothendieck group of $D_c^b(X_{\text{ét}}, \Lambda)$

$$= \frac{\text{Free abelian group generated by objects of } D_c^b(X_{\text{ét}}, \Lambda)}{\langle [S] = [F] + [X] \mid \begin{array}{c} F \rightarrow S \rightarrow X \rightarrow \bullet \text{ distinguished} \\ \text{triangle} \end{array} \rangle}$$

Characteristic class $K_0(X/k, \Lambda) \xrightarrow{cc_{X/k}(-)} CH_0(X)$

$cc_{X/k}(F) := \alpha_X^!(CC(F))$ Gysin pullback of characteristic cycle of F by the zero-section $X \xrightarrow{\alpha_X} T^*X$ of the cotangent bundle.

Global epsilon factor $K_0(X/k, \Lambda) \xrightarrow{E(X, -)} \Lambda^\times$

The global epsilon factor of F is defined to be $E(X, F) = \det(-\text{Frob}_k; R\Gamma_c(X_{\bar{k}}, F))^{-1}$, where Frob_k is the geometric Frobenius, i.e., the inverse of the Frobenius substitution $x \mapsto x^{\#k}$ of \bar{k} (viewed as an element of $\text{Gal}(\bar{k}/k)$).

§1 Kato-T.Saito's conjecture (there are at least two Saito in this conference)

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Now we assume that X is projective and smooth over the finite field k .

By Kato-T.Saito's unramified class field theory, there is a so-called

$$\text{reciprocity map } \text{Ch}_0(X) \xrightarrow{S_X} \Pi_1^{\text{ab}}(X)$$

$$\begin{array}{ccc} \text{[s]} & \xrightarrow{\quad} & \text{[Frob}_s\text{]} \\ & & \text{geo. Frobenius at } s \end{array} \quad (\text{sgn char})$$

S_X is injective with dense image!

The following (global) twist formula for $E(X, \mathcal{F})$ was a modification of a conjecture of Kato and T.Saito in 2008.^{2004.}

Theorem A (Umezaki-Y-Zhao, 2017)

For any smooth sheaf \mathcal{G} on X , we have

$$E(X, \mathcal{F} \otimes \mathcal{G}) = E(X, \mathcal{F})^{\text{rank } \mathcal{G}} \cdot \det \mathcal{G}(S_X(-\text{cc}_{X/k}(\mathcal{F}))) \text{ in } \Lambda^X,$$

$$\text{where } \begin{array}{ccc} \text{Ch}_0(X) & \xrightarrow{S_X} & \Pi_1^{\text{ab}}(X) \xrightarrow{\det \mathcal{G}} \Lambda^X \\ \text{cc}_{X/k}(\mathcal{F}) & \xrightarrow{\quad} & \det \mathcal{G}(S_X(-\text{cc}_{X/k}(\mathcal{F}))). \end{array}$$

Remark In Kato-T.Saito's paper 2008, they defined the Swan

class $\text{Sw}^{\text{ks}}(\mathcal{F}) \in \text{Ch}_0(U \setminus U) \otimes \mathbb{Q}$ for a smooth sheaf \mathcal{F} on $U \subseteq X/k$ by using alteration and logarithmic blow-up.

$$\begin{array}{c} \text{Ch}_0(U \setminus U) \otimes \mathbb{Q} \\ \parallel \\ \varprojlim_{U \subseteq Y \text{ compactification}} \text{Ch}_0(Y \setminus U) \otimes \mathbb{Q} \end{array}$$

In their paper, the global twist formula was written in terms of $\text{Sw}^{\text{ks}}(\mathcal{F})$.

Conjecture B (T.Saito, 2016)

k : any perfect field. $U \xrightarrow[\text{open dense}]{j} X/k$ smooth. For any smooth sheaf \mathcal{F} on U ,

we have $\text{Sw}^{\text{ks}}(\mathcal{F}) = \text{Sw}^{\text{cc}}(\mathcal{F})$ in $\text{Ch}_0(X \setminus U)$,

where $\text{Sw}^{\text{cc}}(\mathcal{F}) = \mathcal{O}_{X \setminus U}^! (\text{rank } \mathcal{F} \cdot \text{cc}(j_! \mathcal{N}) - \text{cc}(j_! \mathcal{F})) \in \text{Ch}_0(X \setminus U)$, where

$X \setminus U \xrightarrow{\mathcal{O}_{X \setminus U}} T^*X \times_X (X \setminus U)$ and $\text{rank } \mathcal{F} \cdot \text{cc}(j_! \mathcal{N}) - \text{cc}(j_! \mathcal{F})$ is supported on $T^*X \times_X (X \setminus U)$.

Both $\text{Sw}^{\text{ks}}(\mathcal{F})$ and $\text{Sw}^{\text{cc}}(\mathcal{F})$ satisfy the higher Grothendieck-Ogg-Shafarevich formula $\chi_c(U_k, \mathcal{F}) = \text{rank } \mathcal{F} \cdot \chi_c(U_k, \mathbb{1}) - \text{deg Sw}^{\bullet}(\mathcal{F})$ if X is projective. 13
 which is due to T. Saito for Sw^{cc} and due to Kato-T. Saito for Sw^{ks} .

Theorem C (weak form of Conjecture B) for surface

Assuming X is a projective smooth surface over a finite field k , and

$U \xrightarrow[\text{open dense}]{} X$. For any smooth sheaf \mathcal{F} on U , we have

$$\text{Sw}^{\text{ks}}(\mathcal{F}) = \text{Sw}^{\text{cc}}(\mathcal{F}) \quad \text{in } \frac{\text{Ch}_0(X)}{\text{(weak form)}}$$

The proof of theorem C is based on Brauer induction and a ~~theorem~~ ^{theorem} of T. Saito and Yatawawa (Same ramification \Rightarrow Same CC)

I will back to this again if I have time!

Some Previous results of twist formulas for epsilon factors

① Local twist formula, due to Deligne and Henniart 1981.

Thm A can be viewed as a globalization of their formulae.

② 1984, S. Saito proved an explicit formula for $E(X, \mathcal{F})$ if \mathcal{F} is smooth. Our proof of thm A is based on his paper.

③ 1993, T. Saito — explicit formula for tamely ramified sheaves.

④ 2009, I. Vidal ^{She} ~~Her~~ proved Thm A under the assumption that

- $\dim X = 2$ and $\text{rank } \mathcal{F} = 1$

- some technical assumptions on the ramification of \mathcal{F} , e.g. degrees.

⑤ 2016, Tomoyuki Abe and Deepam Patel proved a K -spectrum

version of twist formula (localization formula) for de Rham

epsilon factor ~~via~~ ^{based on} micro-local description of singular supports

$$K_S(X, \mathcal{D}_X) \longrightarrow K_S(T^*X), \quad S \subseteq T^*X$$

\uparrow perfect
 K -spectrum of coherent \mathcal{D}_X -modules with $\text{SS} \subseteq S$

$K_S(T^*X) = K$ -spectrum of coherent \mathcal{O}_{T^*X} -modules with support $\subseteq S$

§2 Application to characteristic class

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We first recall the definition of total characteristic class $c_{X,0}(\mathcal{F}) \in CH_*(X)$.

$$K_0(X, \Lambda) \xrightarrow{\overline{CC}} CH_*(\mathbb{P}(\overline{T^*X \oplus \Lambda_X})) \xrightarrow{\sim} CH_*(X) = \bigoplus_{i=0}^d CH_i(X)$$

$c_{X,0}(-)$

$$\overline{CC}(\mathcal{F}) = \mathbb{P}(\overline{CC\mathcal{F} \oplus \Lambda_X}) \longmapsto c_{X,0}(\mathcal{F}).$$

We have $c_{X,0}(\mathcal{F}) = c_{X,k}(\mathcal{F})$

$$c_{X,d}(\mathcal{F}) = (-1)^d \text{rank } \mathcal{F} \cdot [\mathcal{F}] \quad \text{generic rank}$$

$$c_{X,d-1}(\mathcal{F}) = \text{Arith divisor class of } \mathcal{F}.$$

If $k = \mathbb{C}$, by a theorem of V. Ginzburg, the following diagram is commutative for any projective morphism $f: X \rightarrow Y$ between smooth schemes over $k = \mathbb{C}$:

$$\begin{array}{ccc} K_0(X, \Lambda) & \xrightarrow{c_{X,0}} & CH_*(X) \\ f_* \downarrow & (*) & \downarrow f_* \\ K_0(Y, \Lambda) & \xrightarrow{c_{Y,0}} & CH_*(Y) \end{array}$$

But in the case where $\text{char } k > 0$, (*) is not commutative by a philosophy of Grothendieck, except for the degree zero part.

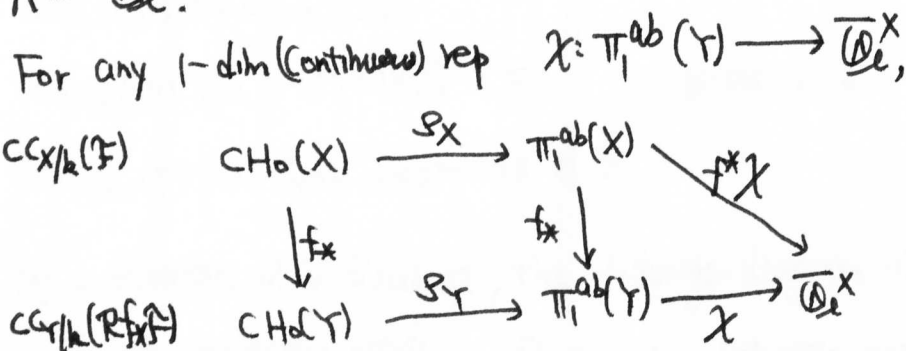
Counter Example $X = \mathbb{P}^d \xrightarrow{F} X = \mathbb{P}^d$ Frobenius map, radical and surjective. $F_*(\Lambda) \cong \Lambda$, $c_{\mathbb{P}^d,0}(\Lambda) = (-1)^d c(\Omega^1_{\mathbb{P}^d}) = \left((-1)^i \binom{d+1}{i+1} \right)_{\neq 0} \text{deg } i$

$CH_*(\mathbb{P}^d) = \bigoplus_{i=0}^d \mathbb{Z} \xrightarrow{F_*} CH_*(\mathbb{P}^d)$ is multiplication by p^i on the degree i -part.

For any projective morphism $f: X \rightarrow Y$ between smooth projective schemes over a finite field k , the diagram $(*)$ commutes, i.e., for any $\mathcal{F} \in \text{D}_c^b(X, \Lambda)$, we have

$$f_* \text{CC}_{X/k}(\mathcal{F}) = \text{CC}_{Y/k}(Rf_* \mathcal{F}) \quad \text{in } \text{Ch}_0(Y).$$

proof $\Lambda = \overline{\mathbb{Q}_\ell}^*$.



$$\chi(\mathcal{P}_Y(-\text{CC}_{Y/k}(Rf_* \mathcal{F}))) \xrightarrow[\text{twist formula}]{\text{Thm A}} \frac{E(Y, Rf_* \mathcal{F} \otimes \chi)}{E(Y, Rf_* \mathcal{F})} \xrightarrow[\text{proj formula}]{\text{proj formula}} \frac{E(X, \mathcal{F} \otimes f^* \chi)}{E(X, \mathcal{F})}$$

$$\xrightarrow[\text{twist formula}]{\text{Thm A}} (f^* \chi)(\mathcal{P}_X(-\text{CC}_{X/k}(\mathcal{F}))) \xrightarrow[\text{field theory}]{\text{Functionality of class}} \chi(\mathcal{P}_Y(-f_* \text{CC}_{X/k}(\mathcal{F})))$$

Since χ is arbitrary, S_Y is injective with dense image

$$\Rightarrow f_* \text{CC}_{X/k}(\mathcal{F}) = \text{CC}_{Y/k}(Rf_* \mathcal{F}). \quad \square$$

Even though $(*)$ is not commutative in general, we would expect the following holds:

— Fix an integer $r \geq 0$ and a smooth connected scheme S of dimension r over a perfect field k .

— Let $X \xrightarrow{f} S$ be a smooth morphism purely of relative dimension d .

Let $D_c^b(X/S, \Lambda) \subseteq D_c^b(X, \Lambda)$ be the thick sub-triangulated category consisting of objects \mathcal{F} such that $X \xrightarrow{\mathcal{F}} S$ is SSP -transversal.

Let $K_0(X/S, \Lambda)$ be the Grothendieck group of $D_c^b(X/S, \Lambda)$.

For any proper morphism $X \xrightarrow{h} Y$ between smooth schemes over S ,

there is a well-defined map

$$K_0(X/S, \Lambda) \xrightarrow{h_*} K_0(Y/S, \Lambda).$$

We expect that the following diagram is commutative:

$$\begin{array}{ccc} K_0(X/S, \Lambda) & \xrightarrow{cc_{X/k, r}} & CH_r(X) \\ h_* \downarrow & (**) & \downarrow h_* \\ K_0(Y/S, \Lambda) & \xrightarrow{cc_{Y/k, r}} & CH_r(Y) \end{array}$$

If $r=0$, this is the previous case.

If $r = \dim X$, $X \xrightarrow{\quad} Y$
 $\quad \quad \quad \nearrow \quad \searrow$
 $\quad \quad \quad S$
 e-tale cover

Some evidences for the above question:

- (1) We can prove a cohomological version of (**).
- (2) relative version of global twist formula (ThmA).
- (3) Relative singular support (Joint with Haoyu Hu)

§3 Cohomological Characteristic Class

Let S be a smooth connected scheme of dim r over a perfect field k .

Let $X \xrightarrow{f} S$ be a smooth morphism ~~map~~ purity of relative dimension n .

$$K_{X/S} = Rf^! \Lambda.$$

We can construct a map, which is compatible with proper push-forward:

$$\begin{array}{ccc} K_0(X/S, \Lambda) & \xrightarrow{ccc_{X/S}} & H^0(X, K_{X/S}) = H^{2n}(X, \Lambda(n)) \\ \downarrow & & \\ K_0(X, \Lambda) & & \end{array}$$

which is called the cohomological characteristic class.

We expect the following diagram commutes ($S = \text{Spec } k$, due to T. Saito 2016)

$$\begin{array}{ccc} K_0(X/S, \Lambda) & \xrightarrow{ccc_{X/S}} & H^{2n}(X, \Lambda(n)) \\ \downarrow c^{-1} \circ c_{X,r} & & \uparrow \text{cl} \\ CH_r(X) = CH^n(X) & \xrightarrow{\text{cycle class map}} & H^{2n}(X, \Lambda(n)) \end{array}$$

The construction of $ccc_{X/S}$ is based on the method of [SGA5, Exposé III, Illustre].
 See also Abbes-T. Saito 2003, or Martin Olsson 2016 for a motivic version.
 "S = Spec k"

Key-Lemma For any $\mathcal{F}, \mathcal{G} \in \mathcal{D}_c^b(X/S, \Lambda)$, we have an isomorphism

$$\mathcal{F} \boxtimes_S^L \mathcal{D}_{X/S}(\mathcal{G}) \xrightarrow{\cong} R\text{Hom}(pr_2^* \mathcal{G}, pr_1^* \mathcal{F})$$

where $\mathcal{D}_{X/S}(\mathcal{G}) = R\text{Hom}(\mathcal{G}, K_{X/S})$ and

$$\begin{array}{ccc} X \times_S X & \xrightarrow{pr_2} & X \\ pr_1 \downarrow \square & & \downarrow \\ \mathcal{F} & \longrightarrow & \mathcal{G} \end{array}$$

Example
 $U \hookrightarrow X = S$
 $X = X$
 $X = X$
 $A \boxtimes_S^L R\text{Hom}(U, \mathcal{F}, \Lambda)$
 $\mathbb{H}?$
 $R\text{Hom}(U, \mathcal{F}, \Lambda)$
 $R\text{Hom}(j_! \Lambda, \Lambda) \cong j_* R\text{Hom}(\Lambda, j^* \Lambda)$
 $\cong j_* \Lambda$
 $j_! \Lambda \otimes j_* \Lambda \cong j_* \Lambda$

proof $S = \text{Spec } k$ true by SGA5.
 general case can be reduced to this case by applying a result of T. Saito

to $X \times_S X \longrightarrow X \times_k X$ which is $\mathcal{F} \boxtimes_k^L \mathcal{D}_{X/k}(\mathcal{G})$ -transversal for any \mathcal{F} and $\mathcal{G} \in \mathcal{D}_c^b(X/S, \Lambda)$.

T. Saito's result $Y \xrightarrow{h} W$ $\mathcal{F} \in \mathcal{D}_c^b(W, \Lambda)$. if h is $SS\mathcal{F}$ -transversal, then h is \mathcal{F} -transversal

Construction of $K_0(X/S, \Lambda) \xrightarrow{ccc_{X/S}} H^0(X, K_{X/S})$

Consider $\begin{array}{ccc} X & \xrightarrow{\cong} & X \\ \parallel & \searrow \delta & \downarrow \delta \\ X & \xrightarrow{\delta} & X \times_S X \end{array}$

$R\text{Hom}(\mathcal{F}, \mathcal{F}) \cong \delta^! R\text{Hom}(p_1^* \mathcal{F}, p_1^! \mathcal{F}) \stackrel{\text{key lemma}}{\cong} \delta^!(\mathcal{F} \boxtimes_{S, D_{X/S}} \mathcal{F})$

$\xrightarrow{1 \rightarrow \delta_* \delta^*} \delta^! \delta_* \delta^*(\mathcal{F} \boxtimes_{S, D_{X/S}} \mathcal{F}) = \delta^! \delta_* (\mathcal{F} \otimes_{D_{X/S}} \mathcal{F}) \xrightarrow{\text{evaluation}} \delta^! \delta_* K_{X/S} \xrightarrow{\cong} K_{X/S}$
base change.

We get a map $\text{Hom}(\mathcal{F}, \mathcal{F}) \xrightarrow{\text{Tr}} H^0(X, K_{X/S}) = H^{2n}(X, \Lambda(n))$

The cohomological char class of \mathcal{F} (relative to $X \rightarrow S$) is defined to be

$ccc_{X/S}(\mathcal{F}) = \text{Tr}(1) \in H^{2n}(X, \Lambda(n))$

Following SGA5, we have the following formal property:

Proposition E For any proper map $\begin{array}{ccc} X & \xrightarrow{h} & Y \\ f \downarrow & & \downarrow g \\ S & & S \end{array}$ between smooth schemes over S ,

we have a commutative diagram

$$\begin{array}{ccccc} K_0(X/S, \Lambda) & \xrightarrow{ccc_{X/S}} & H^0(X, K_{X/S}) & \xrightarrow{\cong} & R h_* K_{X/S} \rightarrow K_{Y/S} \\ h_* \downarrow & & \downarrow h_* & & \parallel \\ K_0(Y/S, \Lambda) & \xrightarrow{ccc_{Y/S}} & H^0(Y, K_{Y/S}) & & R h_* R f^! \Lambda \xrightarrow{R g^!} R g^! \Lambda \\ & & & & \parallel \\ & & & & R h_* R h^!(R g^! \Lambda) \xrightarrow{R h_! R h^!(R g^! \Lambda)} R g^! \Lambda \\ & & & & \text{adjunction.} \end{array}$$

§4 Relative twist formula

Let k be a finite field.

S/k smooth of dimension $r \geq 0$, $X \rightarrow S$ smooth projective of relative dimension $n \geq 0$.

By a result of T. Saito, there exists a unique way to attach a pairing

$$CH^n(X) \times \pi_1^{ab}(S) \longrightarrow \pi_1^{ab}(X) \quad (***)$$

satisfying the following conditions:

(1) when $S = \text{Spec } k$ is a point, for a closed point $x \in |X|$, the pairing with the

class $[x]$ is the map

$$\text{Gal}(k^{ab}/k) \xrightarrow{\text{transfer } h_{x,0}/k} \text{Gal}(k(x)^{ab}/k(x)) \xrightarrow{i_{x,x}} \pi_1^{ab}(X).$$

\swarrow inseparable degree $[k(x):k]_i$
 \searrow $\text{Gal}(\bar{k}(x)/k(x)) \subseteq \text{Gal}(\bar{k}/k)$

(2) For any point $s \in |S|$, the following diagram commutes:

$$\begin{array}{ccc} CH^n(X) \times \pi_1^{ab}(S) & \longrightarrow & \pi_1^{ab}(X) \\ \downarrow & & \uparrow \\ CH^n(X_s) \times \pi_1^{ab}(S) & \longrightarrow & \pi_1^{ab}(X_s) \end{array}$$

Corollary F Let $\mathcal{F} \in D_c^b(X/S, \Lambda)$ such that $X \xrightarrow{f} S$ is properly $SS\mathcal{F}$ -transversal, i.e. ("properly" means that $\forall s \in S$, the fiber $SS\mathcal{F}_{X_s}$ is of dimension $\dim X_s$)

Then for any smooth sheaf \mathcal{G} on X , we have

$$\det Rf_* (\mathcal{F} \otimes \mathcal{G}) \cong (\det Rf_* \mathcal{F})^{\otimes \text{rank } \mathcal{G}} \otimes \det \mathcal{G}(-1)^r \mathcal{C}_{X,r}(\mathcal{F}) \text{ in } K_0(S, \Lambda).$$

where $\det \mathcal{G}(-1)^r \mathcal{C}_{X,r}(\mathcal{F})$ is a smooth sheaf of rank 1 on S , defined via

the pairing $(***)$:

$$\pi_1^{ab}(S) \xrightarrow{\mathcal{C}_{X,r}(\mathcal{F})} \pi_1^{ab}(X) \xrightarrow{\det \mathcal{G}} \Lambda^{\times}.$$

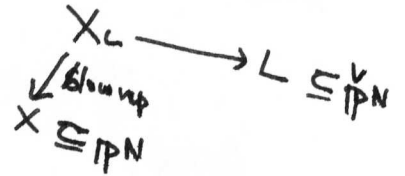
proof Use Chebotarev density and pullback of $\mathcal{C}\mathcal{C}$ by properly $SS\mathcal{F}$ -transversal map, then use Theorem A.

I don't know if there is a canonical isom for Corollary F. □

§ 5 Proof of Kato-T.Saito's Conjecture (follow S.Saito)

Use T.Saito-Yatagawa's theorem on the existence of good Lefschetz pencils relative to SSF, we can reduce the question to the key case:

$X \xrightarrow{f} C$ C : smooth projective curve
 ω is meromorphic 1-form on C .



$X \xrightarrow{f} C$ is SSF-transversal outside a finite set of closed points $\{x_v\}_{v \in \Sigma}$, $\Sigma \subseteq |C|$ (each fiber X_v contains at most one isolated char point x_v)

- For $v \in \Sigma$, x_v is k -rational.
- ω has neither poles or zeros at Σ
- For $v \in |C|$ with $\text{ord}_v(\omega) \neq 0$, X_v is smooth and $X_v \xrightarrow{i_v} X$ is properly SSF-transversal.

then we have an induction formula for $cc_{X/k}(\mathcal{F})$:

$$cc_{X/k}(\mathcal{F}) = - \sum_{v \in |\Sigma|} \dim \text{tot } R\Phi_{x_v}(\mathcal{F}, \mathcal{F}) \cdot [x_v] - \sum_{v \in |C|} \text{ord}_v(\omega) \cdot cc_{X_v/k}(\mathcal{F}|_{X_v})$$

Now we can prove theorem A by induction on $\dim(X)$ and by using Laumon's product formula: \mathcal{G} : smooth on X .

$$\frac{E(X, \mathcal{F} \otimes \mathcal{G})}{E(X, \mathcal{F})^{rk \mathcal{G}}} = \frac{E(C, Rf_* (\mathcal{F} \otimes \mathcal{G}))}{E(C, Rf_* \mathcal{F})^{rk \mathcal{G}}} \stackrel{\text{Laumon's prod. formula}}{=} \prod_{v \in |C|} \frac{E_v(\omega, Rf_* (\mathcal{F} \otimes \mathcal{G}))}{E_v(\omega, Rf_* \mathcal{F})^{rk \mathcal{G}}}$$

Local epsilon factor.

By induction step and local twist formula for local epsilon factor, we have

$$\frac{E_v(\omega, Rf_* (\mathcal{F} \otimes \mathcal{G}))}{E_v(\omega, Rf_* \mathcal{F})^{rk \mathcal{G}}} = \begin{cases} \det \mathcal{G}(\mathcal{P}_X(\text{ord}_v(\omega) \cdot cc_{X_v/k}(\mathcal{F}|_{X_v}))) & \text{if } v \notin \Sigma \text{ and } \text{ord}_v(\omega) \neq 0 \\ \det \mathcal{G}(\mathcal{P}_X(\dim \text{tot } R\Phi_{x_v}(\mathcal{F}, \mathcal{F}) \cdot [x_v])) & \text{if } v \in \Sigma \end{cases}$$

\uparrow
 $\text{we } R\Gamma(X_v, \mathcal{F}) \rightarrow R\Gamma(X_{\eta_v}, \mathcal{F}) \rightarrow R\Phi_{x_v}(\mathcal{F}, \mathcal{F}) \rightarrow$

$$\Rightarrow \frac{E(X, \mathcal{F} \otimes \mathcal{G})}{E(X, \mathcal{F})^{rk \mathcal{G}}} = \det \mathcal{G}(\mathcal{P}_X(-cc_{X/k}(\mathcal{F})))$$

§ Appendix A: transfer map and relative reciprocity map

Transfer map $H < G$ closed subgroup of finite index between topological groups

$$H^{ab} = H/H^c, \quad H^c = \text{closure of the commutator subgroup of } H.$$

The transfer map $t: G^{ab} \rightarrow H^{ab}$ is defined as follows:

choose any section $s: H \backslash G \rightarrow G$, then for any $g \in G$,

$$t(gG^c) = \prod_{x \in H \backslash G} h_{g,x} \pmod{H^c}$$

where $h_{g,x} \in H$ is defined by $s(x)g = h_{g,x} s(xg)$.

relative reciprocity map $CH^n(X) \times \pi_1^{ab}(S) \rightarrow \pi_1^{ab}(X)$:

duality \rightsquigarrow same to define $CH^n(X) \times H^1(X, \mathcal{O}/\mathbb{Z}) \rightarrow H^1(S, \mathcal{O}/\mathbb{Z})$.

We use the result: for any finite flat map $Y \xrightarrow{\pi} S$, ^{we have} the trace map

$$\text{Tr}_Y/S: H^1(Y, \mathcal{O}/\mathbb{Z}) \rightarrow H^1(S, \mathcal{O}/\mathbb{Z})$$

The trace map commutes with arbitrary base change.

Case $S = \text{Spec } k$ $Z_0(X) \times H^1(X, \mathcal{O}/\mathbb{Z}) \rightarrow H^1(S, \mathcal{O}/\mathbb{Z})$ which factors through $CH_0(X) \times H^1(X, \mathcal{O}/\mathbb{Z})$.

$([x], \chi) \longmapsto \text{Tr}_{k(x)/k}(\chi|_x)$

General case $K = \text{Frac}(S)$. $H^1(S, \mathcal{O}/\mathbb{Z}) \rightarrow H^1(K, \mathcal{O}/\mathbb{Z})$ injective.

$$CH^n(X) \times H^1(X, \mathcal{O}/\mathbb{Z}) \rightarrow CH^n(X_K) \times H^1(X_K, \mathcal{O}/\mathbb{Z}) \rightarrow H^1(K, \mathcal{O}/\mathbb{Z})$$

Show image is contained in $H^1(S, \mathcal{O}/\mathbb{Z})$.

Key-case $S = \text{Spectrum of a discrete valuation ring}$.

For $z \in |X_K|$ and $\chi \in H^1(X, \mathcal{O}/\mathbb{Z})$,

$$Z = \{z\} \subseteq X$$

\downarrow finite flat
 S

$$\langle z, \chi|_{X_K} \rangle = \text{Tr}_{z/S}(\chi|_z) \in H^1(S, \mathcal{O}/\mathbb{Z}).$$

$U \xrightarrow[\text{open}]{j} X/k$ proper smooth over a perfect field k .

\mathcal{F} : smooth étale sheaf on U .

In 2004, Kato and T. Saito defined the Swan classes $Sw^{ks}(\mathcal{F}) \in CH_0(X/U) \otimes \mathbb{Q}$.

Assume resolution of singularities in the following, then $Sw^{ks}(\mathcal{F}) \in CH_0(X/U)$

$Sw^{ks}(-)$ satisfies the following properties:

(1) If \mathcal{F} and \mathcal{G} have same wild ramification, then $Sw^{ks}(\mathcal{F}) = Sw^{ks}(\mathcal{G})$.
 $\begin{matrix} \mathcal{F} & \xrightarrow{\text{smooth on } U} & \mathcal{G} \\ \uparrow & & \uparrow \\ U & & U \end{matrix}$
 \Downarrow universally same Euler number \Rightarrow Same rank and Same Artin conductor by cut-by-curve.

(2) (push-forward) For any cartesian diagram $\begin{matrix} V & \xrightarrow{\quad} & Y \\ f \downarrow & & \downarrow \mathcal{F} \\ U & \xrightarrow{\quad} & X \end{matrix}$, where

$\left. \begin{matrix} X \text{ and } Y \text{ are proper smooth,} \\ f: \text{finite étale} \\ \mathcal{G}: \text{any smooth sheaf on } V \end{matrix} \right\} \Rightarrow$ then we have
 $Sw^{ks}(f_*\mathcal{G}) = \overline{f}_* Sw^{ks}(\mathcal{G}) + \text{rank } \mathcal{G} \cdot Sw^{ks}(f_*\mathbb{1})$
 in $CH_0(X)$ (更严谨, 在 $CH_0(X/U)$, 但今天我们只讨论 weak form)

(3) (Initial condition)

If moreover $D = X \setminus U$ and $B = Y \setminus V$ are SNC divisors, then

$$Sw^{ks}(f_*\mathbb{1}) = d^{\log} := (-1)^{\dim X - 1} \overline{f}_* \left\{ \mathcal{G}(\Omega_{Y/k}^1(\log B)) - f^* \Omega_{X/k}^1(\log D) \cap [\overline{U}] \right\}$$

logarithmic differential zero class

in $CH_0(X)$

(Hurwitz formula).

Remark For $Sw^{cc}(\mathcal{F}) = \alpha_X^!(\text{rank } \mathcal{F} \cdot c(\mathcal{U}; \mathbb{1}) - c(\mathcal{U}; \mathcal{F})) \in CH_0(X)$.

$Sw^{cc}(\mathcal{F})$ satisfies (3) \leadsto tame sheaf \boxed{CC} of

$Sw^{cc}(\mathcal{F})$ satisfies (1) by T. Saito-Yatagawa, which is a generalization of Illusie and I. Ueda.

(2) is still open for $Sw^{cc}(\mathcal{F})$.

(True if k is finite and if X and Y are projective and smooth)

Theorem (Uniqueness) Assume resolution of singularities.

Any $S_w^0 : K_0(\text{local system on } U) \rightarrow \text{Ch}_0(X)$ satisfying (1)(2) equals to S_w^{ks} .

proof Brauer induction \Rightarrow WMA \mathcal{F} is of rank 1, and is trivialized by a finite étale covering $V \rightarrow U$ of Galois group $\mathbb{Z}/p^n\mathbb{Z}$.

By induction on $n \Rightarrow$ WMA $n=1$.

$\mathcal{F} \longleftrightarrow \chi$ character of $G = \mathbb{F}_p$.

We may assume $\mathcal{F} \neq \Lambda$. χ is non-trivial

Fact (T. Saito-Yatagawa) For any non-trivial character χ and χ' of G (hence of same order) $\Rightarrow \chi$ and χ' have same wild ramification.

Choose

$$\text{Now } \mathcal{F} \otimes \Lambda \cong \Lambda \oplus \bigoplus_{\chi \neq 1} \chi$$

$$\Rightarrow (p-1) S_w^0(\mathcal{F}) = \sum_{\chi \neq 1} S_w^0(\chi) = S_w^0(\mathcal{F} \otimes \Lambda) \stackrel{(3)}{=} S_w^{ks}(\mathcal{F} \otimes \Lambda) = (p-1) S_w^{ks}(\mathcal{F})$$

$$\Rightarrow S_w^0(\mathcal{F}) = S_w^{ks}(\mathcal{F}).$$

Choose $H \subseteq G$ unique subgroup of order p .

χ : character of G associated to \mathcal{F} .

$\chi_H = \chi|_H \Rightarrow \chi$ is of order p^n
 $\chi|_H = \chi_H$ is of order p .

\mathcal{F}_{χ_H} : sheaf on U'

$V \xrightarrow{g} U' \xrightarrow{h} U$ étale coverings such that the Galois group of $V \xrightarrow{g} U'$ is H .

By def $h_* \mathcal{F}_{\chi_H} = k^* \mathcal{F}$.

$$h_* \mathcal{F}_{\chi_H} = h_* \Lambda^* \mathcal{F} \subseteq \mathcal{F} \otimes h_* \Lambda \simeq \mathcal{F} \otimes \bigoplus_{\psi} \mathcal{F}_{\psi} \cong \bigoplus_{\psi} (\mathcal{F} \otimes \mathcal{F}_{\psi})$$

\uparrow Ir. rep. of G/H (cyclic)

We have $S_w^{ks}_{\chi}(\mathcal{F}) = S_w^{ks}_{\chi}(\mathcal{F} \otimes \mathcal{F}_{\psi})$ hence condition (2).
 \uparrow of same order

A4

Same wild ramification (T. Saito - Yataogawa)

X/k of finite type, $\Lambda = \mathbb{F}_\ell$, $\ell \neq p = \text{char } k$.

Case 1: X is normal and separated

\mathcal{F} and \mathcal{F}' are locally constant constructible sheaves of Λ -modules.

We say \mathcal{F} and \mathcal{F}' have the same wild ramification if there exist proper

normal $\overline{X} \xrightarrow[\text{dense}]{\text{open}} X$ such that for all geometric $\overline{x} \rightarrow \overline{X}$, we have:

— Let G be a finite quotient group of the inertia group $I_{\overline{x}} = \pi_1(\overline{X}_{(\overline{x})} \times_{\overline{X}} X, \overline{E})$

w.r.t a base point \overline{E} such that the pull-back $\mathcal{F}|_{\overline{X}_{(\overline{x})} \times_{\overline{X}} X}$ and

$\mathcal{F}'|_{\overline{X}_{(\overline{x})} \times_{\overline{X}} X}$ corresponds to G -modules M and M' respectively.

Then for every element $\sigma \in G$ of p -power order, we have an equality of the dimension of the σ -fixed parts:

$$\dim M^\sigma = \dim M'^\sigma$$

Case 2: In general

Let \mathcal{F} and \mathcal{F}' be constructible complexes of Λ -modules on X .

We say \mathcal{F} and \mathcal{F}' have same wild ramification if

\exists finite partition $X = \bigsqcup_{i \in I} X_i$ by locally closed normal and separated

subschemes such that for every q and for every i , the restrictions

$\mathcal{H}^q(\mathcal{F})|_{X_i}$ and $\mathcal{H}^q(\mathcal{F}')|_{X_i}$ are locally constant constructible

and have the same wild ramification in the sense of 1.

Definition of Swan class Sw^k

X variety over a perfect field k .

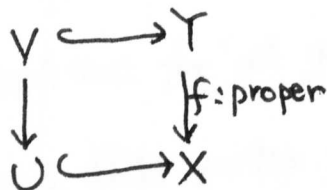
$U \xrightarrow[\text{open}]{j} X$. U smooth. \mathcal{F} : smooth sheaf on U .

(\mathcal{F} mod ℓ 存在)

Assume \mathcal{F} is trivialized by a finite Galois cover $V \rightarrow U$ of Galois group G .

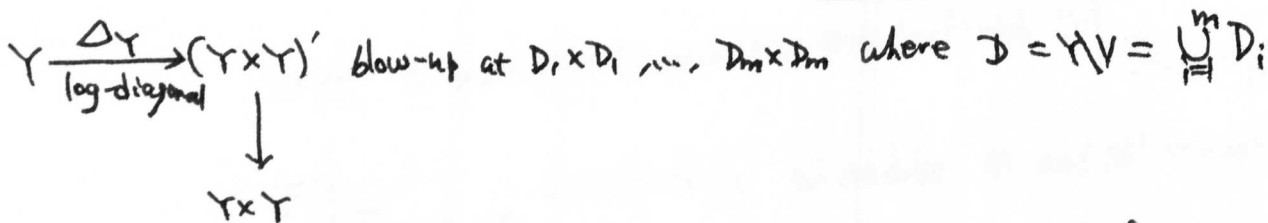
M = representation of G corresponding to \mathcal{F} .

Further assume there is a commutative diagram



Y : smooth and Y/V is a SNC divisor.

In general, we consider \mathcal{F} mod ℓ and use Brauer trace and "alteration"



For any $\sigma \in G = \text{Gal}(V/U)$ such that $\sigma \neq 1$, let Γ_σ = graph of σ and

$\overline{\Gamma}_\sigma$ be the closure of $\Gamma_\sigma \subseteq V \times_U V$ in $(Y \times Y)'$.
 tame ramification \Rightarrow no intersection
 wild ramification \Rightarrow non-empty intersection

Define $S_{V/U}(\sigma) = -(\overline{\Gamma}_\sigma, \Delta_Y)_{(Y \times Y)'} \in \text{Ho}(Y/V)$

$$S_{V/U}(1) = - \sum_{\sigma \neq 1} S_{V/U}(\sigma)$$

$$Sw(\mathcal{F}) := \frac{1}{|G|} \sum_{\sigma \in G} f_{X,U} S_{V/U}(\sigma) \cdot \text{Tr}(\sigma: M) \in \text{Ho}(X/U) \otimes \mathbb{Q}$$

For \mathcal{F} mod ℓ , use Brauer trace.

Theorem (Kato-T.Saito, Higher GOS)

If X is proper, $\chi_c(U_{\mathbb{R}}, \mathcal{F}) = \chi_c(U_{\mathbb{R}}) \text{rank } \mathcal{F} - \text{deg } Sw(\mathcal{F})$.

(we Lefschetz trace formula for open variety proved using a log product)
 and